

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicants: I.D.W. Samuel *et al.*  
Filing date: Filed Herewith  
Title: NEUTRAL METALLIC DENDRIMER COMPLEXES  
Based on  
PCT International  
Application No.: PCT/GB2003/003725  
PCT International  
Filing Date: August 28, 2003

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313

Sir:

Pursuant to 37 CFR 1.97-1.98, Applicant is submitting a listing of documents on Form PTO-1449. A copy of each document is enclosed.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any rights to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

Applicant respectfully requests that the listed documents be considered by the Examiner, be made of record in the present application and that an initialed copy of Form PTO-1449 be returned in accordance with MPEP § 609.

Dated: February 22, 2005

Respectfully submitted,  
By: Richard T. Roche  
Richard T. Roche  
Registration No. 38,599  
Quarles and Brady LLP  
411 East Wisconsin Ave.  
Milwaukee, WI 53202  
(414) 277-5805

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## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

### Complete if Known

Application Number	
Filing Date	Herewith
First Named Inventor	I.D.W Samuel et al.
Art Unit	
Examiner Name	
Attorney Docket Number	480821.00011

Sheet 2 of 2

### OTHER PRIOR ART—NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
/CA/		PCT Search Report for PCT/GB03/03725 , March 11, 2004 .	
/CA/		Markham et al., "High-efficiency green phosphorescence from spin-coated single-layer dendrimer light-emitting diodes", Applied Physics Letters, p. 2645-2647, April 15, 2002	
/CA/		Balzani et al., "Dendrimers based on photoactive metal complexes. Recent Advances.", Coordination Chemistry Reviews 219-221, pages 545-572, 2001.	

Examiner Signature	/Charanjit Aulakh/	Date Considered	05/14/2010
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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